

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Goodman et al.

Group Art Unit: 1644

Serial No. 08/971,172

Examiner: S. Turner

Filed: November 14, 1997

Attorney Docket No. B98-006-2

For: *Robo: A Novel Family of Polypeptides  
and Nucleic Acids*

DECLARATION UNDER RULE 132

I, Tito Serafini, declare and state as follows:

1. I am an Associate Professor in the Department of Molecular and Cell Biology at the University of California, Berkeley. The Regents of the University of California is the assignee of the subject patent application. I am knowledgeable and experienced in the field of molecular biology. I have read and am familiar with the contents of the above application.

2. In my opinion, the phrase "flanked by fewer than 500 bp of native flanking sequence" is clear and definite to those of ordinary skill in the art in view of the specification. For example, on p.19, lines 27-33 the specification explains: "The subject recombinant nucleic acids comprising the nucleotide sequence of SEQ ID NO:1, 3, 5, 7, 9 or 11, or fragments thereof, contain such sequence or fragment at a terminus, immediately flanked by (i.e. contiguous with) a sequence other than that which it is joined to on a natural chromosome, or flanked by a native flanking region fewer than ... 500 bp, which is at a terminus or is immediately flanked by a sequence other than that which it is joined to on a natural chromosome."

This usage clearly conveys to those skilled in the art that (a) a strand "flanked by fewer than 500 bp of native flanking sequence" is contiguous with, on at least one end, fewer than 500 bp of native flanking sequence; (b) fewer than 500 bp includes zero bp and (c) native flanking sequence is sequence to which the strand is joined on a natural chromosome. Furthermore, native flanking sequences are readily determined from corresponding natural chromosome sources, which are identified in the specification (e.g. p.4, lines 1-3).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application and any patent issuing therefrom.

Date: February 3, 2000



Prof. Tito Serafini

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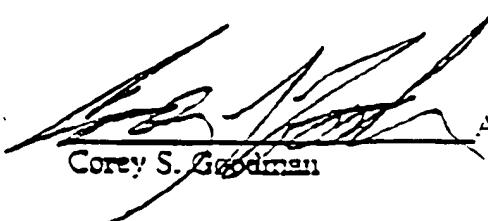
SECOND DECLARATION UNDER 37 CFR 1.131

Assistant Commissioner for Patents  
Washington D.C. 20231

Dear Commissioner:

1. We are coinventors of the subject patent application.
2. Attached is a printout of a Word file dated April 24, 1997 which contains the Human Robo 1 cDNA sequence we isolated in 1996. The sequence includes the 5' UTR of Human Robo 1 (bases 1-509) and Human Robo 1 coding sequence (bases 510-5366) encoding amino acids 1-1619 of Human Robo 1. The file is archived on Compact Disc and is supported by raw sequence files. This work was performed in the United States.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Aug 30, 2000

Corey S. Goodman



Thomas Kidd

Aug 30, 2000



Kevin J. Mitchell

Aug 30, 2000



Guy Tazz

Aug 30, 2000

### Human Robo-I Sequence 4.24.97

TTGACGGAATCCCTAACATCGCAGCAGGCATTACAATACCAGAGGTAACGAATCAATT  
AAATCCAWTTCCTCGCGTCTCMAAAGCTGCGKGCCTAGTGTGCTGTGTTAG  
ACCGGRGCAGTAGGACCMCAGGGCCTCCGCAGCCMCAAATAGAAGCGCACACTTGGVCC  
TATTTGTATGCAATGCCTTCTGCTCGCATTAWATAGTDAATACAGATAACGGGTT  
GAAAGAAWTTCTACTGAAGARGGATTGAATTTCAGGGTGCTGATACAGAGAAGAA  
ACCGACTTCACTCTCCCTATTCCTTCACTCTTAGGTTAAAAGTCTGTACACCTTCG  
CTTGGTTAAACTCGGAAAGGTCTAGTGCACAGCAAAGTTGCAGGGCTGCGTCTGACT  
ACGGAGTCTCTAGATTGCTGAAACAGTCTTATGGAAGGATAACACATTGTCTGTCACTG  
GCTGGTTGTAATGCAAGGAAGGGACAAAGATGAAATGGAAACATGTTCTTTGGTCA  
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3' diffus.